FORM PTO-1390

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

## TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371

ATTORNEY'S DOCKET NUMBER PF-0637 USN

U.S. API 1.9 ICN NO (15 nown Se 2016) 1.5)

INTERNATIONAL APPLICATION NO PCT/US99/30408

INTERNATIONAL FILING DATE 10 December 1999

PRIORITY DATE CLAIMED
11 December 1998

TITLE OF INVENTION

**NEURON-ASSOCIATED PROTEINS** 

APPLICANT(S) FOR DO/EO/US

INCYTE PHARMACEUTICALS, INC.; TANG, Y. Tom; YUE, Henry; BAUGHN, Mariah R.; HILLMAN, Jennifer L.; LAL, Preeti; AU-YOUNG, Janice; YANG, Junming; LU, Dyung Aina M.; AZIMZAI, Yalda

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

- 1. ⊠ This is the **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
- 2. 

  This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
- 3. 

  This is an express request to promptly begin national examination procedures (35 U.S.C. 371 (f)).
- 4. □ The US has been elected by the expiration of 19 months from the priority date (PCT Article 31).
- 5. ⊠ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a.  $\square$  is attached hereto (required only if not communicated by the International Bureau)
  - b.  $\square$  has been communicated by the International Bureau.
  - c. ⋈ is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. □ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
- 7. 

  Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a.  $\square$  are attached hereto (required only if not communicated by the International Bureau).
  - b.  $\Box$  have been communicated by the International Bureau.
  - c.  $\square$  have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ⋈ have not been made and will not be made.
- 8. □ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. ⊠ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10.□ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

## Items 11 to 16 below concern document(s) or information included:

- 11. □ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
- 12. □ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.27 and 3.31 is included.
- 13. □ A FIRST preliminary amendment.
  - ☐ A SECOND or SUBSEQUENT preliminary amendment.
- 14. □ A substitute specification.
- 15.  $\Box$  A change of power of attorney and/or address letter.
- 1) Transmittal Letter (2 pp, in duplicate)
- 2) Return Postcard
- 3) Express Mail Label No.: EL 856 153 993 US
- 4) Request to Transfer

U.S. APPLICATION NO. TO BE ASSIGNED	(ILICATION IN MINISTER PARTY IN THE PROPERTY OF THE PROPERTY O		ATTORNEY'S PF-0637 USN	S DOCKET NUMBER			
17. ☑ The following fees are submitted:  BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(5):  Neither international preliminary examination fee (37 CFR 1.482)  nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO  and International Search Report not prepared by the EPO or JPO\$1000.00  ☐International preliminary examination fee (37 CFR 1.482) not paid to  USPTO but International Search Report prepared by the EPO or JPO\$860.00  International preliminary examination fee (37 CFR 1.482) not paid to USPTO  but international search fee (37 CFR 1.445(a)(2)) paid to USPTO\$710.00  ☐International preliminary examination fee paid to USPTO (37 CFR 1.482)  but all claims did not satisfy provisions of PCT Article 33(1)-(4)\$690.00  ☐International preliminary examination fee paid to USPTO (37 CFR 1.482)  and all claims satisfied provisions of PCT Article 33(1)-(4)\$100.00							
ENTER APPROPRIATE BASIC FEE AMOUNT =					\$690.00		
Surcharge of \$130.00 for furnishing the oath or declaration later than $\Box$ 20 $\Box$ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).					\$		
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE				
Total Claims	20 =	0	X \$ 18.00		\$		
Independent Claims	2 =	0	X \$ 80.00		\$		
MULTIPLE DEPENDENT CLAIM(S)(if applicable) +\$270.00					\$		
TOTAL OF ABOVE CALCULATIONS =					\$690.00		
☐ Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.					\$		
SUBTOTAL =					\$690.00		
Processing fee of \$130.00 for furnishing the English translation later than 20 30 months from the earliest clailmed priority date (37 CFR I492(f)).					s		
TOTAL NATIONAL FEE =					\$690.00		
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by the appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +					\$		
TOTAL FEES ENCLOSED =					\$690.00		
					Amount to be Refunded:	\$	
					Charged:	\$	
a. □ A check in the amount of \$ to cover the above fees is enclosed.  b. ☑ Please charge my Deposit Account No. <u>09-0108</u> in the amount of \$ 690.00 to cover the above fees.  c. ☑ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>09-0108</u> . A duplicate copy of this sheet is enclosed.  NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.							
SEND ALL CORRESPONDENCE TO:  INCYTE GENOMICS, INC. 3160 Porter Drive Palo Alto, CA 94304							
NAME: Diana Hamlet-Cox							
REGISTRATION NUMBER: 33,302							
	DATE: 7 June 2001						